

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay D. MCNEIL, Karen MCNEIL and John MCNEIL

For: \*\*  
\*\* Helmet Providing Cervical Spine Protection

Serial No: \*\*

Filed: \*\* Submitted herein

Examiner: \*\*

Art Unit: \*\*  
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Commissioner of Patents and Trademarks  
United States Patent And Trademarks Office  
Washington, D. C. 20231 USA

Hon. Commissioner:

In regard to the above-mentioned Application, and in order to comply with the Duty of Disclosure requirements of 37 C.F.R. 1.56, applicants submit herewith an Information Disclosure Statement by Applicant form.

Respectfully submitted,



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Patent Agent  
Registration No.: 40,368

Date: March 11, 2004

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Jay D. McNeil et al.
Art Unit	
Examiner Name	
Attorney Docket Number	24034

Sheet One of One**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2,807,260	09-24-1957	Teufel, Aloisius	Gen. info. only
		US- 3,134,106	05-26-1964	Shaffer, Archie, et	Gen. info. only
		US- 3,189,917	06-22-1965	Sims, Danton F.	Gen. info. only
		US- 3,818,509	06-25-1974	Romo, Leon E. et al	Gen. info. only
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		US- 4,250,874	02-17-1981	Rude, Kennon G.	Gen. info. only
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		US- 5,371,905	12-13-1994	Keim, Hugo A.	Gen. info. only
		US- 5,444,870	08-29-1995	Pinsen, David	Gen. info. only
		US- 5,493,736	02-27-1996	Allison E. Norman	Gen. info. only
		US- 5,517,699	05-21-1996	Abraham, George E.	Gen. info. only
		US- 5,581,816	12-10-1996	Davis, Emsley A.	Gen. info. only
		US- 5,715,541	02-10-1998	Landau, William M.	Gen. info. only
		US- 5,930,843	08-03-1999	Kelly, James M.	Gen. info. only
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		US- 6,560,789	05-13-2003	Whalen, Thomas et a	Gen. info. only

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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